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MAY 10 2001
U.S. PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 2

(Use several sheets if necessary)

ATTORNEY DOCKET NO.: PHX-0038

APPLICATION NO.
10/045,317

APPLICANT: Renyuan GAO et al.

FILING DATE: 7 November 2001

GROUP ART UNIT:
Not Yet Assigned

U.S. PATENT DOCUMENTS

EX. INIT. ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ²			
SP	1	4,105,298	B1	Levine et al.	08-08-1978	
↑	2	4,838,634	B1	Bennion et al.	06-13-1989	
↑	3	5,046,800	B1	Blyer, Jr. et al.	09-10-1991	
↑	4	5,094,517	B1	Franke	03-10-1992	
	5	5,166,993	B1	Blyer, Jr. et al.	11-24-1992	
	6	5,462,880	B1	Kane et al.	10-31-1995	
	7	5,974,214	B1	Shacklette et al.	10-26-1999	
↓	8	6,086,999	B1	Ilvashenko	07-11-2000	
GP	9	6,087,000	B1	Girgis et al.	07-11-2000	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

EX. INIT. ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.						T ⁶
SP	10	N. KEIL et al., "Athermal all-polymer arrayed-waveguide grating multiplexer", Electronics Letters, 26 April 2001, Vol. 37, No. 9.						
SP	11	N. Keil et al., "Athermal polarization-independent all-polymer arrayed waveguide grating (AWG) multi-demultiplexer", Optical Society of America, 2000, pp. PD7-1 to PD7-3.						
Examiner Signature	<i>Sung Pak</i>			Date Considered	<i>7/22/03</i>			

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard S.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		Number	Kind Code ²			
EP	12	6,100,371	B1	Suh et al.	08-08-2000	
	13	6,102,905	B1	Baxter et al.	08-15-2000	
	14	6,133,472	B1	Nalewajek et al.	10-17-2000	
	15	6,157,757	B1	Giaretta et al.	12-05-2000	
	16	6,226,429	B1	Hikita et al.	05-01-2001	
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Examiner Signature		<i>Suny Park</i>		Date Considered	<i>7/22/03</i>	

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